

Docket No.: 26119.100 US 1

PATENT/OFFICIAL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

BETTIS et al.

Serial No. 09/597,742

Filed: June 19, 2000

For: SYSTEM, METHOD AND COMPUTER READABLE
MEDIUM CONTAINING INSTRUCTIONS FOR
EVALUATING AND DISSEMINATING INVESTOR
PERFORMANCE INFORMATION



Group Art Unit: Unassigned

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for
Patents and Trademarks
Washington, D. C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449.

It is respectfully requested that the documents be expressly considered and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

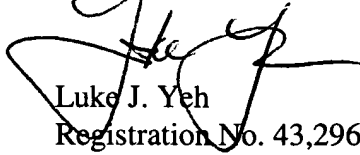
This Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits. No certification or fee is required. Copies of the references are provided.

AUTHORIZATION

The Commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to deposit account no. 08-0219.

Respectfully submitted,

HALE AND DORR LLP


Luke J. Yeh
Registration No. 43,296

1455 Pennsylvania Ave., N.W.

Washington, DC 20004

Telephone: (202) 942-8400

Facsimile: (202) 942-8484

Date: 1/22/01